



## **Terms of Reference of the full Council, Regulatory Boards and Committees and Functions Delegated to Officers**

### **Full Council**

The full Council will discharge the following functions, which may or may not be determined upon the receipt of recommendations from the Cabinet, unless otherwise stated:-

1. The approval of the financial and overall policy framework for the authority, and the making of such additional policy proposals as it deems appropriate from time to time.
2. The adoption, approval, or variation of plans, strategies, and policies of the Council upon recommendation of the Cabinet, which together constitute the authority's Policy Framework.
3. The Council's Constitution prepared in accordance with section 37 of the Local Government Act 2000, including the terms of reference and delegations in respect of non-executive functions, Standing Orders of the Council, financial regulations, the Code of Practice on Financial Regulations, the Procedure Rules for Contracts, the purchasing policy of the Council and its Code of Practice, and associated documents.
4. The appointment of the Leader of the Council on a four year term, in accordance with the requirements of the Local Government and Public Involvement in Health Act 2007.
5. The annual appointment of the Mayor, Deputy Mayor, and Mayor Elect, Regulatory Boards, the Audit Committee, Scrutiny Committees, and Area Councils. Council also appoints the Chairs of Regulatory Boards, the Audit Committee, and Scrutiny Committees.
6. The approval of the authority's statement of accounts, income and expenditure, and balance sheets.
7. The preparation and financing of an overall capital expenditure programme, and the review of or necessary adjustments to that programme as required.
8. The preparation and financing of an overall revenue expenditure programme, including the Housing Revenue Account, the adoption of a Council Tax or like tax and a review of or necessary adjustments to that programme as required, insofar as such adjustments may not be agreed by the Cabinet within the approved arrangements for the virement of expenditure.

9. The determination of the levels and pensionability of Elected Members' Allowances, upon receipt of a report from the Independent Members' Remuneration Panel established by the Council for such a purpose.
10. The formulation of financial regulations, including approval of virement limits.
11. The establishment of overall management and disposal policies for the Council's land and property assets.
12. The authorisation of applications to the Secretary of State for the transfer of housing stock out of Council ownership and of applications to the Secretary of State to join the annual disposals programmes and to transfer housing land.
13. Recommendations and / or reports made to the Cabinet from time to time by Area Councils, where these would involve a change in the policy and financial framework of the authority or have financial implications outside the approved budget.
14. Recommendations from the Cabinet on action proposed in response to reports from external statutory bodies (e.g. Ofsted, CQC, External Auditor, and in the cases of reports from the Local Government Ombudsman and from the Appeals, Awards, and Standards Regulatory Board), except where the external statutory body has a right of direct access to the Council (meeting as such) and chooses to exercise that right.
15. The distribution of non-Executive functions, powers, and duties of the Council, the establishment of new arrangements for the discharge of such functions and the delegation of powers and duties within committees, and for the cycle of meetings within the frequency established under the Council's approved Constitution.
16. All matters relating to the boundaries or status of the borough or the reform or reorganisation of local government, so far as the particular interests of the Council are affected.
17. The conferment of the title of honorary alderman or the admittance to be an honorary freeman.
18. The promotion of bills in Parliament by the Council or their support of, or opposition to, bills otherwise affecting the Council's interests.
19. The making, amendment, or revocation of byelaws available to the Council under legislation.
20. Electoral issues under the European Parliamentary Elections Act 1978 and the Representation of the Peoples Acts 1983 to 2000 requiring Council decisions and approval.

21. Matters relating to Parish Councils that require Council consent.
22. Periodic reports from the Cabinet on its operation and the “state of the borough”.
23. Matters relating to local government pensions.
24. Policy matters relating to the attendance of Council Members and officers at conferences and seminars.
25. The approval of special national and European policies and programmes.
26. The Council’s relations with other countries, including requests for assistance on further twinning.
27. The nomination or appointment of Members of the Council to outside bodies, unless the nomination is to a body dealing with functions within the purview of the executive.
28. To approve the Community Strategy, insofar as the Council is concerned, and the Corporate Plan, and any similar policy plans, strategies, or initiatives.
29. All policy plans and strategies and new proposals relating to partnerships with external agencies and local authority companies.
30. To confirm the appointment by the appropriate Appointment Panel of the Head of Paid Service.
31. To approve the designation of officers as the Council’s Chief Finance Officer (Local Government Act 1972, Section 151), Head of Paid Service (Local Government and Housing Act 1989, Section 4) and Monitoring Officer (Local Government and Housing Act 1989, Section 5).
32. To approve the annual pay policy statement for Council staff, in accordance with Sections 38 to 43 of the Localism Act 2011.
33. To approve the remuneration packages of new staff appointments where these exceed £100,000 per annum, or any other amount stipulated by the Secretary of State concerned.
34. Receipt of responses by the designated Council representatives on Joint Authorities to questions on the business of those Authorities.
35. The Code of Conduct for Members and the Code of Conduct for Officers on recommendation of the Appeals, Awards, and Standards Regulatory Board.

**Note: The Council has the responsibility for the determination and discharge of the above functions. In the case of matters that may be the subject of Cabinet recommendations, the Scrutiny Committees may exercise the right to refer these back to the Cabinet once only.**

## **Delegations to Officers from the full Council**

### **A. General Provisions**

1. The Officer Delegations from Council are to be read in conjunction with Financial Regulations, the Code of Practice to Financial Regulations, the Procedure Rules for Contracts, and the Purchasing Policy of the Council and its Codes of Practice, in which will be found certain other specific delegated powers, and which may limit or qualify the powers conferred by this document.
2. For the purposes of this document, 'the Council' refers to non-executive powers exercised by the full Council, and 'committees' refers to bodies designated as such under the Local Government Acts 1972 and 2000, namely the Regulatory Boards, the Audit Committee, the Appeals, Awards, and Standards Regulatory Board, the Area Councils, and Scrutiny Committees, acting collectively or through officers acting under delegated powers conferred on them by this document. The executive powers of the Barnsley MBC are exercised by the Cabinet, Cabinet Spokespersons and officers and are detailed in other documents of the Constitution.
3. The exercise by officers of the Council in accordance with the Non-Executive powers conferred by the Local Government Act 1972 and Local Government Act 2000 and within the following Delegations is subject to:-
  - (a) Save in the cases of urgency referred to in paragraphs 16, 17 and 18, expenditure or income being within the approved estimates, approved arrangements for the virement of expenditure by the Executive and Council policy framework or having received the prior approval of the Council;
  - (b) Full consultation with the Director of Legal & Governance in matters involving legal considerations or where court proceedings are or may be involved; and
  - (c) All purchases, sales, leases or appropriations of land, not being transactions within the terms of sub-paragraph (a) above or the subject of delegations to the Director of Finance, Property & Information Services, receiving the consent of the Council where such transactions are reserved to the Council for approval.
4. Where the same power referred to in this document as exercisable by the Council, a 'committee' or an officer, it shall be exercised at the lowest level (e.g. officer level) but no delegation shall preclude the reference of matters

- to the Council or a 'committee' as applicable for a decision nor preclude the exercise of any powers within their competence by the Council, except where action has already been taken in the exercise of a power referred to in this document.
5. In accordance with section 101 of the Local Government 2000 and any order made thereunder the Council will indemnify officers and Members acting bona fide in the exercise of the functions of the Council in any case where proceedings may be taken against them in respect of acts done by them in discharge or purported discharge of functions delegated to them.
  6. Reference in this document to an officer in relation to the exercise of powers shall, unless statute precludes, be deemed to include any employee of the Council and his/her deputy or any other officer or employee authorised by him/her to act in cases where the officer or employee concerned is not available to act provided that where services are provided under the terms of a contract won in competition this power shall only be exercised by the Employer's Representative or his/her representative, or by some person to whom he/she has delegated that power.
  7. References herein to any enactment shall be deemed to include any statutory provisions which may from time to time replace, amend or extend the provisions of that enactment and any orders, directions, regulations, code or bylaws made thereunder and any ministerial circulars or advice notes relating thereto.
  8. In urgent matters, and subject to subsequent report to the Council or a 'committee' for a decision for future reference, the Monitoring Officer shall resolve any doubt as to the appropriate decision-making route through which the same shall be referred for action.
  9. The Scheme of Delegations does not derogate from the functions of the Chief Executive, Executive Directors and Directors in respect of the day to day management of their services or the disposition or direction of employees within their respective Directorates, Departments and Divisions.
  10. The Chief Executive, Executive Directors and Directors are authorised to exercise, concurrently, all powers delegated from time to time to relevant Service Directors within their Directorate except where limitations of statute prevent their exercise.
  11. The Chief Executive, Executive Directors and Directors respectively, are authorised to incur expenditure without specific Council or 'committee' approval up to a maximum of £250,000 in any one case where the sum(s) can be provided for within current approved estimates for the service, but only where it is in compliance with general budget and policy framework of the Council, Standing Orders, Contract Procedure Rules, and Financial

Regulations. Aggregation of like or associated expenditure will be necessary when determining whether the maximum has been exceeded in any one case. Income generating contracts may be similarly approved up to a maximum of £50,000 and subject to the same conditions as for expenditure.

In the case of capital expenditure which falls within the limits of this delegation, the Chief Executive, Executive Directors and Directors are also authorised to approve the inclusion of the said scheme within the Capital Programme and its release in accordance with Financial Regulations Code of Practice C.5.2.(a), subject to consultation with the Director of Finance, Property & Information Services.

The limit of £250,000 shall not apply to expenditure which is inevitable (as defined by the Director of Legal & Governance) for the day to day provision of services (e.g. day to day supplies, payment of energy bills etc.) provided that such expenditure was in the contemplation of Members when the revenue budget was approved or is necessary to comply with the requirements of contracts won by the Council in competitive tender.

12. The Chief Executive, Executive Directors, and Directors are authorised to vire amounts up to and including £250,000 in any one instance within a service within their respective Directorate Budgets for Employees, Premises, Supplies and Services and Transport, with the exception of virements affecting expenditure on the sub headings for rates, insurances, planned maintenance, central support charges, administrative building charges, grant aided schemes, energy management, professional fees (for Architects, Engineers etc.) and financing charges, subject to the Financial Regulations and the Associated Code of Practice which provide for additional exclusions.

Beyond these limits, the relevant 'committee' is authorised:

- (a) To approve variations and virements at an unlimited amount to overall capital or revenue programmes where the cost can be contained within the overall budgetary allocation for the service concerned, subject to the Financial Regulations and the Associated Code of Practice which provide for additional exclusions.
  - (b) To approve variations to overall capital or revenue programmes by the inclusion of additional schemes funded from whatever source where that funding has been specifically provided for the scheme in question and the Council has no discretion as to the use of that funding.
13. The Chief Executive, Executive Directors and Directors respectively are authorised in consultation with the Director of Human Resources, Performance & Partnerships and Communications to approve staff regradings where there are no significant changes in duties and the cost in

any one year does not exceed £250,000 and the sum(s) can be contained within the cash limited budget for the service for current and future years.

14. The Chief Executive, as Head of Paid Service, is authorised to approve minor restructurings resulting in changes to the duties and numbers of staff where the cost in any one year does not exceed £250,000 and the sum(s) can be contained within the cash limited budget for the service concerned for current and future years. Proposals will be initiated by the Chief Executive, relevant Executive Directors or Directors in consultation with the Director of Human Resources, Performance & Partnerships and Communications, who will process proposals received and present them for authorisation by the Chief Executive
15. The Director of Legal & Governance, after consultation with the Chief Executive, Executive Directors and Directors as appropriate, is authorised to institute or defend legal proceedings whether civil or criminal as he deems appropriate under any statutory provisions within the powers and duties of the Council, whether or not such powers and duties are exercisable by the Council, a 'Committee' or 'Sub-Committee' or officer, and upon receipt of instructions from the Chief Executive or relevant Executive Director or Director.
16. The Chief Executive, Executive Directors, Directors and Service Directors respectively are empowered, after consultation with the Leader and Deputy Leader of the Cabinet (or either of them if the other is not reasonably available) and the Chair of the relevant Regulatory Board (or the Vice-Chair if the Chair not reasonably available) to take or authorise any action on any matter which they consider cannot await a meeting of the Regulatory Board in question, provided that such action shall be reported for information to the next available meeting of the Regulatory Board.
17. Where any action or matter is to be considered which is contrary to or would require a variation to the Council's Budget (except for virement between budget heads within prescribed limits) and/or Policy Framework as defined within paragraph 1 of the matters reserved for Council but requires a decision before the next scheduled meeting of the Full Council, such a decision may be taken by the Cabinet, if it is impractical to convene a quorate meeting of the Full Council in the time available before a decision must be made, subject to the responsible Officer consulting the Chairman of the relevant Scrutiny Committee, or in his/her absence the Mayor or the Deputy Mayor, and reporting the outcome of this consultation at the relevant Cabinet meeting. Any decision so taken will be reported to the next ordinary meeting of the full Council.
18. Where any action or matter is within the purview of full Council, other than described at paragraphs 16 and 17 above, but requires a decision before the next scheduled meeting, the relevant Chief Executive, Executive Directors, Directors and Service Directors are empowered to taken such a

decision, if it is impractical to convene a quorate meeting of the full Council in the time available before a decision must be made, and subject to the responsible Officer consulting the Chairman and / or Vice-Chairman of Cabinet and the Chair of the relevant Scrutiny Committee, or in his/her absence the Mayor or the Deputy Mayor. Any decision so taken will be reported to the next ordinary meeting of the full Council.

19. The Chief Executive, or in her absence any member of the Council's Leadership Team (Executive Directors, Directors and Service Directors), shall be authorised to declare a Major Incident, activate the Council's Corporate Resilience Plan and convene the Gold Team and Silver Team, in line with procedures set out within the Council's Corporate Resilience Plan and/or associated documents. Once activated, the Chief Executive, or any officer authorised by her, shall be authorised to take such action as is considered necessary to deal with the incident in question. The Silver Team, or any member(s) thereof, shall also be authorised to request mutual aid or consider the provision of mutual aid if requested by another local authority under the terms of any arrangements made with the authority in question, subject to the prior consultation, where possible, or as soon as possible thereafter, of the Chief Executive, or other member of the Gold Team, on the action to be taken. The exercise of these delegated powers is, in all cases, subject to a report on the action taken being submitted to the next available Cabinet meeting.
20. The Chief Executive, or in her absence any member of the Council's Leadership Team (Executive Directors, Directors and Service Directors), is authorised to conclude and maintain suitable Emergency Planning Mutual Aid arrangements with Derbyshire, Rotherham, Sheffield, Doncaster, Wakefield and Kirklees Councils.
21. The Chief Executive, and each Executive Director and Director, respectively is responsible for ensuring compliance with the Data Protection Acts 1998, the Freedom of Information Act 2000, the Health and Safety at Work etc., Act 1974, the Construction (Design and Management) Regulations 2007, and all other appropriate Regulations, Directions, Circulars, Guidelines etc. (utilising the services of the Head of Strategic Property and Procurement and the Head of Highways, Engineering & Transportation as appropriate) in so far as his/her service is concerned.
22. The Director of Legal & Governance is authorised to negotiate, conclude and execute on behalf of the Authority any document or agreement required to give effect to any decision taken by or on behalf of the Council or a 'committee', whether or not that decision specifically records such an authorisation, provided that where expenditure is authorised under paragraph 11 above, any documentation giving effect to that expenditure may be entered into by the officer incurring the expenditure.



23. Subject to the requirements of Procedure Rules for Contracts and Financial Regulations, the financial limit set under paragraph 11 above, i.e. £250,000, also applies, unless otherwise stipulated, to other financial decisions capable of being made by the relevant officer, e.g. ability to waive liquidated damages for individual projects (in consultation with the Director of Legal & Governance and Director of Finance, Property & Information Services); employment of consultants/experts where this does not form part of the approved revenue budget, in conjunction with the Director of Finance, Property & Information Services; ability to deal with the sale or disposal of surplus stocks, stores or equipment based on their estimated open market/residual value, whichever is the higher; ability to provide professional services to other Local Authorities, or such other items as are approved by the Council or a 'committee' from time to time.
24. The Chief Executive, Executive Directors and Directors respectively are authorised, to make variations to capital estimates (pre-contract estimates) for schemes over £250,000 in value only where the aggregate of all such variations do not exceed the lower of £250,000 or 10% of the original figure on which a policy decision was made, subject to them being contained within approved resources.
25. In respect of contracts with an estimated value not exceeding the Financial Threshold for Key Decisions (i.e. £500,000), except where a lower value is indicated below, the Chief Executive, Executive Directors and Directors are authorised to approve the following with regard to the invitation or acceptance of tenders and related matters subject in all cases to the financial implications of the anticipated value of the relevant contract having been approved or otherwise provided for within current approved estimates:-
- (i) the decision to seek the inviting of offers by way of negotiated procedure pursuant to Standing Order Number 7 of the Council's Contract Procedure Rules or the selection of any person with whom to negotiate following the invitation of such offers in respect of contracts with an estimated value not exceeding £250,000;
  - (ii) the approval of approved lists of contractors to be kept pursuant to Standing Order Number 4 of the Council's Contract Procedure Rules;
  - (iii) the selection of any persons to be invited to tender pursuant to Standing Order Numbers 4, 5 or 6 of the Council's Contract Procedure Rules;
  - (iv) acceptance of tenders invited under Procedure Rules 4,5 or 6 which are the lowest acceptable tender submitted or acceptance of any such tenders which are other than the lowest acceptable tender submitted in accordance with Standing Order 18;

- (v) acceptance of any tenders invited under Procedure Rules 4, 5 or 6 or any bid submitted by way of a negotiated procedure under Standing Order 7 which represents the most economically advantageous offer where this is provided for in the award criteria;
- (vi) subject to (vii) below, to not seek competition for the procurement of any goods, works or services in the circumstances permitted by Standing Order 10.1 where the estimated value of the contract does not exceed £250,000;
- (vii) to not seek competition for the procurement of any goods, works or services in the circumstances permitted by Standing Order 10.1 (a)(proprietary or patented goods), 10.1(e)(instruction from counsel/solicitors), 10.1(f)(procurement through consortia), 10.1(g)(art or museum specimens), 10.1(i)(special education or care contracts), 10.1(j)(exercise of statutory grant aid powers) or 10.1(k)(Section 278 of the Highways Act 1980), subject to the specific restrictions applicable in each case;
- (viii) to accept the lowest suitable tender for any capital or revenue project which is within 10% of the latest approved estimate for the provision of works, goods or services or £250,000 whichever is the lower and which can be contained within approved resources. Any such decision shall be reported for information within the relevant Budget Monitoring report submitted to Cabinet or the relevant Cabinet Spokesperson, as appropriate;

Subject to the requirements of Procedure Rules for Contracts, Financial Regulations and the Associated Codes of Practice.

*Note: For clarification in respect of the matters set out at paragraph 22 above, the following matters are therefore delegated to individual Cabinet Members for decision:-*

- (a) *Approval of actions described at (i), (ii), (iii), (iv), (v), (vi), (vii) and (viii) above which exceed the financial thresholds which apply;*
- (b) *Approval to not seek competition for the procurement of any goods, works or services with an estimated value in excess of £250,000 in the circumstances permitted by Standing Order 10.1 (b) (works of a specialist nature) 10.1 (c) (purchases where no genuine competition can be achieved) 10.1 (d) (named product compatible with an existing installation), 10.1 (h) (work arising due to unforeseen circumstances) and 10.1 (l) (works to be carried out "in-house"), subject to the specific restrictions within Contract Procedure Rules applicable in each case.*

26. Where the lowest suitable tender is below the approved estimate, additional works, goods or services from approved programmes may be included in the contract up to the value of the approved estimate by the Chief Executive, Executive Directors or Directors, subject to the contractor's agreement and to compliance with Procedure Rules for Contracts and Financial Regulations.
27. The Chief Executive, Executive Directors and Directors respectively, in agreement with the Client Agent, Employer's Representative or appropriate Council Representative where applicable, are authorised to vary the approved capital programme for a project, which has been released by the Council or the relevant 'committee' where applicable, up to a total of 10% of the original contract value or £250,000, whichever is the lower, subject to the expenditure being contained within approved resources for the service. The item is to be reported to the Cabinet in the next variations report or capital programme review. Aggregation of all variations and like or associated expenditure is necessary when determining whether the maximum has been exceeded, beyond which an individual and specific approval by the Council or 'committee' concerned is required.

Where the above limits are or will be exceeded any item(s) involved shall be referred to the Council or the relevant 'committee', as appropriate, unless it/they fall within the following categories and have received the prior approval of the Chief Executive or Executive Director and the Client Agent, Employer's Representative or the appropriate Council Representatives and meet any appropriate grant conditions etc.:

- (i) Variations which do not in aggregate exceed £50,000 where the contract is less than **£250,000** in value and which can be contained within approved resources.
- (ii) Variations which are 100% grant funded by other than revenue support grant which have received the prior approval of the Director of (Finance, Property & Information Services), appropriate conditions have been met, and subject to inclusion of the matter in subsequent monitoring reports to Cabinet, as applicable.
- (iii) Variations carried out on the written instructions of outside bodies where there is no cost to the Authority (e.g. for Yorkshire Water or South Yorkshire Joint Secretariat etc.).
- (iv) Contractual claims for loss and expense which require payment and are to be reported to the Council or a 'committee', as applicable, retrospectively.
- (v) Variations which are essential to prevent delay to the progress of work on site and will incur additional cost if not carried out immediately but are to be specifically reported to the next Council

or relevant 'committee', as applicable, and can be contained within approved resources for the service. (Any savings achieved by omission of other works etc. shall not be brought into account when arriving at the appropriate figures where approvals would be required.)

28. The Chief Executive, Executive Directors and Directors are authorised to appoint officers, other than those on JNC Terms and Conditions of Employment, within the relevant Directorate or Department, provided that such posts are on previously agreed grades and establishments and subject to such other approvals and procedures as are in force from time to time.
29. The appointment of all staff not appointed in accordance with specific delegated authority contained elsewhere in these Delegations or the Constitution shall be carried out by Appointments Panels constituted in accordance with procedures approved from time to time by the Council.
30. The Chief Executive, Executive Directors and Directors respectively are authorised, in compliance with Council policies and procedures in force from time to time:-
  - (a) To approve special leave of absence up to five days, with or without pay;
  - (b) To authorise leave of absence for attendance at vocational conferences and courses and at non post-entry training courses and seminars;
  - (c) To approve essential and casual user car allowances and motor cycle allowances;
  - (d) To decide the eligibility of employees to receive payment:
    - (i) of telephone and/or fax charges;
    - (ii) for temporarily undertaking additional duties and responsibilities where provided for in the conditions of service;
    - (iii) in accordance with the Ex-gratia Scheme such maximum sum to be determined by the Director of Human Resources, Performance & Partnerships and Communications and the Director of Finance, Property & Information Services, which is currently up to £500;
    - (iv) in accordance with the Removal and Disturbance Scheme.

- (e) To take action, including dismissal, against an employee in accordance with the Council's Disciplinary, Performance in Employment (Capability) and Incapacity due to Sickness or Industrial Injury Procedures;
  - (f) To determine the award of facilities to employees to undertake post-entry training;
  - (g) To approve work breaks and secondments for employees in accordance with the scheme and procedures approved by the Council.
31. The Chief Executive, Executive Directors and Directors in consultation with the Chief Executive are authorised, as follows:
- (a) To determine matters relating to the acceleration of increments where not provided for in the Conditions of Service;
  - (b) To determine questions relating to payments to employees temporarily undertaking additional duties where not specifically provided for in the conditions of service or established grading structures;
  - (c) To approve special leave of absence over five days, with or without pay;
  - (d) To extend sick pay, where the application is supported by a medical report of the Council's Medical Referee;
32. The Chief Executive, the Director of Finance, Property & Information Services, the Director of Legal & Governance, the Service Director Assets and/or Service Director Environment and Transport, as appropriate, are authorised, in conjunction with the Employer's/Council Representative where applicable, to implement individual schemes within the approved capital and Revenue Programmes in respect of new buildings, additions, improvements, alterations and building maintenance repairs, in compliance with the Council's Financial Regulations and Procedure Rules for Contracts.
33. The Service Director Assets is authorised in conjunction as appropriate with the Chief Executive, the Director of Legal & Governance and the relevant Executive Directors, to arrange the immediate carrying out of such urgent maintenance or repairs to buildings or their demolition as he/she may consider necessary to eradicate an immediate hazard or danger, or to prevent rapid and progressive deterioration, or to maintain essential services, including damage caused by fire, subsidence, tempest, storm, flood or terrorist activity, where the expenditure involved is not:-
- (i) within the powers delegated to other officers; or

- (ii) in compliance with the appropriate Financial Regulations (subject to report to the next meeting of the Cabinet).
- 34. The Head of Highways, Engineering & Transportation is authorised to carry out works for the immediate removal or repair of structures on or adjacent to the highway which are, in his opinion, dangerous, where the expenditure involved is not:-
  - (i) within the powers delegated to other officers; or
  - (ii) in compliance with the appropriate Financial Regulations (subject to report to the next meeting of the Cabinet).
- 35. The Service Director Assets is authorised, in consultation with the Chief Executive, the Director of Legal & Governance and the appropriate Executive Director or Directors respectively to provide financial control of building construction and maintenance works within approved sums and to ensure value for money is achieved on the same.
- 36. For the avoidance of doubt, where a power or duty or authority is exercisable by an officer within these Delegations, that officer is hereby given authority to further delegate any such power, duty or authority to any other officer he may nominate, in the interests of the Council or for the better performance of any such power, duty or authority.
- 37. The Chief Executive, Executive Directors and Directors, as appropriate, in consultation with the Director of Legal & Governance, are authorised to terminate contracts which do not provide a specific procedure for doing so, where in the opinion of the Chief Executive and/or Executive Director and/or Directors, it is in the interests of the Council so to do, and subject to a report to the next available meeting of the Council or the appropriate 'committee'.
- 38. The powers given in the following delegations to the Chief Executive or Executive Directors to waive charges or write off debts is limited, except where otherwise expressly provided for in the following delegations, to sums under £500 (all charges or debts of one individual or entity (e.g. company, partnership etc.) to be aggregated).
- 39. The aggregate value of all charges and debts likely to be written off for one individual or party shall be used to determine whether £500 has been exceeded except where the Council or 'committee' decides otherwise for a particular class of charge or debt on the recommendation of the Director of Legal & Governance and the Director of Finance, Property & Information Services.

**B. Delegations to Specific Officers**

**1. Chief Executive**

- (a) To undertake the duties of the Head of the Paid Service in accordance with section 4 of the Local Government and Housing Act 1989.
- (b) To undertake the duties of Proper Officer for the purposes of Part I of the Local Government and Housing Act 1989 other than those which are the responsibility of the Monitoring Officer.
- (c) To take all such action as he / she considers appropriate in any emergency (as defined by the Chief Executive) in consultation with such Members and officers as he considers the circumstances will allow, subject to report to Members on action taken.
- (d) To undertake the duties of Proper Officer for the exercise of functions under section 83 of the Local Government Act 1972 (Declarations of Acceptance of Office).
- (e) To exercise the functions and duties of the Local Returning Officer and Electoral Registration Officer under the Representation of the People Acts 1983 - 2000.

**2. Director of Legal & Governance**

- (a) To undertake the duties of Monitoring Officer in accordance with Section 5 of the Local Government and Housing Act, 1989 and the relevant provisions of Part III of the Local Government Act 2000.
- (b) In consultation with the Mayor, to grant permission for the use of the Council Coat of Arms.
- (c) In consultation with the Mayor, to take all such action as the Director may deem appropriate for the promotion and protection of the mayoralty and the image of the Borough.

## **Appeals, Awards and Standards Regulatory Board**

- (a) To exercise the functions of the Council in relation to the hearing and determination of any appeal admission or award in respect of which the Council is vested with the responsibility of deciding the outcome either finally or as part of an appeals mechanism.
- (b) Without prejudice to the generality of the foregoing, the Board will deal with appeals relating to the discipline of staff but only in cases of appeals against dismissal, or in such other cases as may be delegated to it from time to time by the Council.
- (c) To consider matters about the standard of behaviour of Elected Members of the Council and investigate complaints about whether or not they comply with the Code of Conduct.

Appeals Panels will carry out their business in accordance with the relevant statutory provisions governing the matter the subject of the appeal

**Guidance Notes on Council Membership of Panels of the Appeals, Admissions and Awards Regulatory Board are enclosed as an Appendix to the Constitution**

## **Delegations to Officers from the Appeals, Awards and Standards Regulatory Board**

### **1. Director of Legal & Governance**

- (a) To determine the composition of any panels being selected from the Board, in consultation with the Chairperson of the Board and in accordance with the relevant statutory provisions governing the matter the subject of the appeal
- (b) To determine whether or not a complaint under the Ethical Standard Framework and Member Code of Conduct should be investigated, following consultation with the Independent Person, and to make arrangements for any complaint to be investigated accordingly.
- (c) To determine applications for dispensations from the Code of Conduct where the number of Members otherwise precluded from taking part in a decision would impede the conduct of business or interfere with the political balance of the decision making body, following consultation with the Independent Person.



## **Appointment Panels**

To exercise the functions of the Council in respect of the interviewing and appointment of the Chief Executive, Executive Directors and Directors, in accordance with Regulations made under the Local Government and Housing Act 1989.

Appointment Panels to appoint the Chief Executive and Deputy Chief Executive will comprise the Leader of the Council (as Chairperson of the Panel) and all other Cabinet Members and such other members of the Council as is necessary to achieve political proportionality.

Appointment Panels to appoint other officers will comprise the relevant Cabinet Spokesperson (as Chairperson), the Cabinet Support Member to that Spokesperson, two other Cabinet members, and such other members of the Council as is necessary to achieve political proportionality but including the chairperson of the relevant Overview and Scrutiny Committee.

Any appointment made by the Panel to the post of Head of Paid Service (currently the Chief Executive) must be reported to the full Council for confirmation. Any other appointments made will be subject to consultation with Cabinet Members prior to confirmation in line with the requirements of mandatory standing orders.

In exceptional cases where it is necessary to hold a disciplinary hearing in respect of any member of the Senior Management Team, a Panel would be constituted on a similar basis. In the case of the Head of the Paid Service, Monitoring Officer and Chief Financial Officer the formal provisions of the Local Government (Standing Orders) Regulations 1993 which require any such Panel to have regard to the recommendation of an Independent Person also apply.

## **Delegations to Officers in respect of Appointment Panels**

- 1. Director of Legal & Governance**
  - (a) To determine the composition of any Appointment Panel, in line with the requirements set out above, in consultation with the Chairperson of the Panel.

## **Planning Regulatory Board**

Within the overall policy framework approved by the Council to exercise the functions of the Council (with exceptions relating to preparation of the Local Development Framework, supplementary planning guidance, designation of conservation areas, areas of archaeological interest and nature reserves, removal of permitted development rights through Article 4 Directions and making compulsory purchase orders which are the responsibility of the Executive) in relation to:-

All matters of town and country planning regarding development control and related regulatory functions, including the making of appropriate statutory orders, public rights of way; minerals and waste disposal planning and control; building control matters; matters connected with the safety of sports grounds; supervision of all land subject to reclamation for the time being owned by the Council; and, in particular, but without prejudice to the generality of the foregoing:-

1. The Town and Country Planning Acts and any statutory instruments, Planning Policy Guidance Notes, Mineral Planning Guidance Notes, and other Guidance Notes issued from time to time, bylaws, regulations etc., made thereunder (subject to sections 182 and 193 of and Schedule 16 to the Local Government Act 1972).
2. The Planning and Compensation Act 1991. Parts IX, X, XIV and XVIII of the Local Government Planning and Land Act 1980, together with the relevant Schedules.
3. The Building Act 1984 and regulations made thereunder, including the Building Regulations 2010, other than those parts that are the responsibility of the Executive.
4. The provisions of the South Yorkshire Act 1980, sections 15, 34, 36, 37, 39, 45, 46, 53, 55, 57, 58 and 59. Section 23, 24, 25, 26, 29 and 33 of the Local Government (Miscellaneous Provisions) Act 1982.
5. The naming and renaming of streets and the numbering and renumbering of properties.
6. Precautions to be taken during the construction of buildings.
7. The Planning (Listed Buildings and Conservation Areas) Act 1990.
8. The Planning (Hazardous Substances) Act 1990.
9. The Wildlife and Countryside Act 1981 (as amended) where applicable to the regulatory function
10. The Rights of Way Act 1990 and the Cycle Tracks Act 1984.

11. The Highways Act 1980 and the Road Traffic Regulation Act 1984 in relation to footpaths and bridleways and other identified functions that are not the responsibility of the Executive.
12. The Safety of Sports Grounds Act 1975, the Fire Safety and Safety of Places of Sport Act 1987 and the Regulatory Reform (Fire Safety) Order 2005 (in respect of designated sports grounds and regulated stands only).
13. The Pipelines Act 1962, the Local Authorities (Historic Buildings) Act 1962, the Ancient Monuments and Archaeological Areas Act 1979 and the Land Compensation Act 1961, so far as relevant to town and country planning.
14. The Mines and Quarries Act 1954, the Mines and Quarries (Tips) Act 1969, and the Mineral Workings Act 1985.
15. The National Parks and Access to the Countryside Act 1949 where applicable to the regulatory function and the Caravan Sites and Control of Development Act 1960 (as amended).
16. Forestry Commission consultations on relevant forestry matters.
17. Matters relating to dangerous structures covered by the Building Act 1984.
18. Matters relating to the environment and to sustainable development as applied by Circulars, Planning Policy Guidance Notes, European Union legislation, the relevant parts of the Environmental Protection Act 1990 and associated legislation where relevant to the regulatory function.
19. The forward planning and control of waste disposal sites and facilities in respect of planning legislation powers where relevant to the regulatory function.

**Planning applications in respect of land or property in the Borough which is in the area of the Peak Park Planning Board should be submitted direct to that Board.**

**Arrangements for the Public to address the Planning Regulatory Board are set out in an Appendix to the Constitution.**

## **Delegations to Officers from the Planning Regulatory Board**

- 1. Director of Legal & Governance**
  - (a) The service of notices under the Building Act 1984 requiring the repair or demolition of ruinous and dilapidated buildings and clearing of sites following demolition.
  - (b) The issue, replacement, amendment, suspension or revocation of a General Safety Certificate and the service of Prohibition Notices under the Safety of Sports Grounds Act 1975.

- (c) The service of Notices under section 36 of the Building Act 1984.
- (d) The service of notices under Part II of the Mines and Quarries (Tips) Act 1969.
- 2. Director of Legal & Governance in consultation with the Head of Highways, Engineering & Transportation**
- (a) Enforcement action for damaging the highway under sections 131 and 136 of the Highways Act 1980.
- (b) Enforcement action for the erection of buildings etc., in the highway under section 138 of the Highways Act 1980.
- (c) Enforcement action for the removal of builders' skips under section 140 of the Highways Act 1980.
- (d) Enforcement action to restrict planting of trees etc., in or near a carriageway under section 141 of the Highways Act 1980.
- (e) Enforcement action in connection with depositing things or Pitching booths etc., on highways under section 148 of the Highways Act 1980.
- (f) Enforcement action to prevent danger or annoyance to users of highways and streets under sections 161 and 162 of the Highways Act 1980.
- (g) Enforcement action to control mixing of mortar etc., on highways under section 170 of the Highways Act 1980.
- (h) Enforcement action to ensure hoardings are securely erected under section 173 of the Highways Act 1980.
- (i) Enforcement action in connection with precautions taken by persons executing street works under section 174 of the Highways Act 1980.
- (j) The prosecution of persons who fail to pay or secure advance payment deposits under section 219 of the Highways Act 1980.
- (k) The making and advertising of Orders under section 21 of the Town Police Clauses Act 1847 or section 16A of the Road Traffic Regulation Act 1984 for the purpose of appropriate events in highways.
- (l) The temporary closure of public rights of way under the Road Traffic Regulation Act 1984.

**3. Director of Legal & Governance in consultation with the Service Director Economic Regeneration and / or Head of Planning and Building Control, as appropriate**

- (a) To respond to all appeals against decisions on applications for planning permissions and consents to advertisements and against enforcement notices.
- (b) To confirm unopposed Tree Preservation Orders and, in urgent cases (as determined by the Director of Legal & Governance) the making of Tree Preservation Orders.
- (c) The service of Breach of Condition and Enforcement Notices under section 187A of the Town and Country Planning Act 1990.

**4. Head of Highways, Engineering & Transportation**

- (a) To administer the provisions of the New Roads and Street Works Act 1991 as respects Streets, Street Works and Undertakers.
- (b) Protection of the rights of the public to use a highway under section 130 of the Highways Act 1980.
- (c) To control the placing of builders' skips on highways under section 139 of the Highways Act 1980.
- (d) To remove obstructions from the highway under sections 143, 149, 151, 152 and 153 of the Highways Act 1980, including the serving of notices requiring the removal of an obstruction to a public footpath.
- (e) To approve or refuse any proposal to divert or close a public footpath under section 143 of the Highways Act 1980, subject to the prior agreement of Ward Members and, where appropriate, the Parish Council.
- (e) To grant consent to planting in the highway by individuals under section 142 of the Highways Act 1980.
- (f) To control the erection of scaffolding on the highway under section 169 of the Highways Act 1980.
- (g) To control the deposit of building materials and the making of excavations in the highway under section 171 of the Highways Act 1980.
- (h) To control the erection of hoardings adjacent to the highway under section 172 of the Highways Act 1980.
- (i) To regulate the placing of cables, pipes, wires etc. over highways under section 178 of the Highways Act 1980.

- (j) To regulate the construction of cellars under highways under section 179 of the Highways Act 1980.
- (k) To regulate the construction of openings into cellars under streets under section 180 of the Highways Act 1980.
- (l) To grant consent to the placing of private apparatus within the highway under sections 50 of the New Roads and Street Works Act 1991.
- (m) Notice of intended demolition under section 80 of the Building Act 1984.
- (n) To serve notice about demolition under section 81 of the Building Act 1984.
- (o) Notice under section 81 and section 82 of the Building Act 1984.
- (p) Appeal against notice under section 81 and section 82 of the Building Act 1984.
- (q) Content and enforcement of notice requiring works under section 99 of the Building Act 1984.
- (r) Appeal against notice requiring works under section 102 of the Building Act 1984.
- (s) To determine applications for modifications to the Definitive Map and Statement of Public Rights of Way

#### **5. Executive Director Place**

- (a) To grant permission for provision etc of services, amenities, recreation and refreshment facilities on the highway under Section 115 of the Highways Act 1980

#### **6. Head of Safer Barnsley Service**

- (a) To exercise the Council's powers and functions under Part 7 of the Town and Country Planning Act 1990 (enforcement), and section 330 (but excluding sections 191 to 196 relating to certificates of lawfulness of existing or proposed development or use)
- (b) To exercise the Council's powers and functions under Sections 215 to 219 (proper maintenance of land) and 225 (removal of advertisements and posters) of the Town and Country Planning Act 1990
- (c) To undertake enforcement action in relation to sections 220 and 224 of the Town and Country Planning Act 1990 and the Town and Country Planning (Control of Advertisements) (England) Regulations 2007

- (d) To undertake enforcement in respect of specific conditions relating to listed buildings and conservation areas under Part 1, Chapter 2, Section 9 and Chapter 4, Part 3, Sections 88, 88a and 88b of the Listed Buildings and Conservation Areas Act 1990
- (e) To decide a complaint and serve, withdraw or enforce a High Hedge Remedial Notice under Part 8 of the Anti-Social Behaviour Act 2003

## **7. Head of Planning and Building Control**

- (a) To approve under the Building Regulations any application which complies in all respects with the requirements of the Regulations.
- (b) To refuse applications under the Building Regulations which would otherwise be approved by default through absence of a decision within the prescribed period.
- (c) In consultation with the Director of Finance, Property & Information Services, to make recommendations to the Cabinet Spokesperson for Place for the variation of the standard scales of Building Regulation Fees in the Local Government Model Scheme by plus or minus 10% in appropriate cases.
- (d) To carry out appropriate inspections on site to ensure compliance with Building Regulations.
- (e) To approve the numbering of properties.
- (f) The exercise of precautions during the construction of buildings and temporary structures.
- (g) To determine planning applications in the following categories in line with the conditions indicated, subject to the note below:-
  - (i) all applications for development, including outline, reserved matters and full applications;
  - (ii) any application submitted in compliance with relevant planning policy or supplementary planning guidance (SPG), including the refusal of any application which is contrary to planning policy or SPG;
  - (iii) any matters reserved by general conditions of planning permission, for example: details of materials, drainage etc. and the discharge of conditions following the completion of development;
  - (iv) any applications under Section 73 of the Town and Country Planning Act 1990 to develop land without compliance with planning conditions previously attached to planning permissions;

- (v) to decline / determine repeat applications in accordance with Section 70A of the Town and Country Planning Act 1990;
- (vi) any requests for minor alterations to existing planning permissions that do not alter the nature of the development or permission, for example the amendment of the design of a window or materials;
- (vii) to determine the need for and content of Environmental Statements in relation to Environmental Impact Assessments (EIAs) (scoping and screening opinions);
- (viii) to deal with notifications under the Town and Country Planning General Permitted Development Order 1995 as amended relating to all matters under the prior notification procedure;
- (ix) to determine applications for Listed Building Consent, except those relating to Grade I or II\* Listed Buildings or where demolition is involved, and for Conservation Area consent;
- (x) to serve Building Preservation Notices under Section 3 of the Planning (Listed Buildings and Conservation Areas) Act 1990;
- (xi) to serve Notices under Section 54 of the Planning (Listed Buildings and Conservation Areas) Act 1990, informing the owner of a Listed Building that the authority intends to carry out works urgently necessary to preserve the building;
- (xii) to enter land and execute works which appear necessary under Section 55 of the Planning (Listed Buildings and Conservation Areas) Act 1990 for the recovery of expenses incurred by the authority in carrying out works pursuant to Section 54 of the Act, and, in the event of non-payment, the institution of legal proceedings to recover those expenses;
- (xiii) to determine any application in respect of the display of Advertisements in accordance with Policy and Supplementary Planning Guidance;
- (xiv) to determine any application in respect of Minerals and Waste Disposal / Transfer / Recycling in relation to the modification or removal of conditions where the substance of the permission remains unchanged, or the review of mineral working permissions, or for any ancillary and additional developments within a quarry or waste management facility, where this is in line with council policies;
- (xv) to determine any applications for the felling of trees or any other works to trees subject to Tree Preservation Orders or Conservation



Area Regulations, in line with specialist advice from the Council's Tree Officer;

- (xvi) to determine proposals to divert or close a public footpath under the Town and Country Planning Act 1990 or Highways Act 1980, subject to the agreement of Ward Members, and to serve notices requiring an obstruction of a public footpath to be removed;
- (xvii) to determine applications for Certificates of Lawfulness of existing or proposed use or development, subject to the agreement of the Director of Legal & Governance;
- (xviii) to respond to consultations for adjacent authorities or Government Departments where the Council's interests are not prejudiced;
- (xix) to approve or refuse any proposal for Street Naming, subject to the agreement of Local Members and consultation with the relevant Parish Council;

**Note** Applications where any of the following apply shall be excluded from approval under the above delegations:

- (i) Any application where a Member of the Council raises material planning considerations that ought to be considered by the Planning Regulatory Board;
- (ii) Any application which requires a Section 106 agreement or a planning obligation;
- (iii) Any large scale major application (that is, of 50 or more dwellings, of 5,000 or more square metres in the case of other developments or on sites of more than 5 hectares), a significant town centre development scheme or applications requiring an Environmental Statement;
- (iv) Any application where the Council itself is the applicant;
- (v) Any application submitted by a Member of the Council or an Officer employed in the Economic Regeneration, Culture, Housing & Regulation or Stronger, Safer and Healthier Communities service
- (iv) Any application where the Head of Planning considers the issues raised should be properly addressed by the Planning Regulatory Board;
- (v) Any major application (that is, of 10 or more dwellings, more than 1,000 square metres of development or a site area in excess of 0.5 hectares) where a member of the public has submitted written

representations and requested to speak at the Planning Regulatory Board.

- (h) To determine proposals submitted under the Hedgerow Regulations and to serve hedgerow retention notices where appropriate.
- (i) To approve any applications made under sections 34, 39, 45, 53, 55, 57 and 59 of the South Yorkshire Act 1980 which comply in all respects with the requirements of those sections.
- (j) To refuse applications made under any of the aforesaid sections of the South Yorkshire Act 1980 which would otherwise be approved by default through absence of a decision within the prescribed period.
- (k) The enforcement of legislation on obstruction to public rights of way under sections 134 and 137 of the Highways Act 1980 as amended by the Rights of Way Act 1990.
- (l) The granting of consent for licensed obstructions (e.g. gates, stiles, etc.) under the Highways Act 1980.
- (m) In consultation with Yorkshire Water the granting of consent to building over sewers.
- (n) In consultation with the Director of Legal & Governance, to take all relevant enforcement action under sections 35 and 36 of the Building Act 1984 and in respect of breaches of Building Regulations in force from time to time.
- (o) To undertake the duties of Proper Officer under section 78 of the Building Act 1984 (dangerous buildings - emergency measures).
- (p) To deal with any matters related to safety under the Safety of Sports Grounds Act 1975 and the Fire Safety and Safety of Places of Sport Act 1987, including the issue and service of prohibition notices.
- (q) To advise appropriate licensing bodies in respect of applications received under various licensing legislation on matters relating to Building Regulations or other safety issues.
- (r) In consultation with the Head of Highways, Engineering & Transportation on Road Safety and Highway issues, and on notification to the relevant local Ward Members, to grant consent for the erection of telephone kiosks within the highway.
- (s) In consultation with the Chairperson of the Planning Regulatory Board, to determine submissions of development details in Enterprise Zones in

respect of which approval is not granted under the scheme, but in accordance with the overall requirements of the planning schemes.

- (t) To determine submissions relating to Agriculture or Forestry Development made under the prior notification procedure pursuant to the Town and Country Planning General Development Order 1995 (as amended).
- (u) In consultation with the Chairperson of the Planning Regulatory Board to respond to Forestry Authority consultations, such responses being reported to the next meeting of the Board.

## **General Licensing Regulatory Board**

Subject to the overall policy framework of the Council to exercise the functions of the Council in relation to:-

1. In respect of hackney carriage and private hire licences:-
  - a. To determine licence applications which have not been determined by the Director of Legal & Governance and the Service Director Culture, Housing & Regulation pursuant to their delegated powers, where consideration by the Sub-Committee is not appropriate.
  - b. To receive reports for information on the suspension and revocation of licences and also to suspend and/or revoke licences where adverse reports have been received in those instances where Service Director Culture, Housing & Regulation has referred the matter to the Board for a decision as to whether disciplinary action would be appropriate.
  - c. To receive, at regular intervals, a report of all licences issued, renewed, or refused.
  - d. To receive an annual report of all hackney carriage and private hire licences issued or renewed where the vehicle in question is over the age of five years.
  - e. To receive periodic reports of the Service Director Culture, Housing & Regulation on random inspections carried out.
  - f. To vary, amend or revoke the standard conditions of hackney carriage or private hire operators, vehicle and drivers' licences.
  - g. To determine the fees payable for the issue and renewal of hackney carriage and private hire licences (including inspections), subject to the consideration of any policy aspects by Cabinet and / or full Council as appropriate.
  - h. To determine the hackney carriage tariff from time to time, subject to the consideration of any policy aspects by Cabinet and / or full Council as appropriate.
  - i. After consultation with the Head of Highways, Engineering & Transportation to approve the siting or relocation or removal of hackney carriage ranks and to authorize the appropriate statutory notices.
2. In relation to amusements with prizes permits and licences, betting track licences, sex establishments and house to house collections etc:-

- a. To grant and renew licences and permits on recommendations from the appropriate officers and outside bodies and to impose conditions, except for the renewal of Sex Shop licences where officers have delegated powers in the circumstances referred to at 2(h) below.
  - b. To vary the conditions attached to an existing licence.
  - c. To determine the licence fee payable in respect of sex establishments.
  - d. To vary, amend or revoke the standard conditions imposed upon the grant of amusements with prizes permits and licences.
  - e. To receive reports on applications for permits for small lotteries where adverse comments have been received from the police and to determine the application.
3. To determine, where there is discretion, the amount to be charged for such approvals, licences, permits or registrations falling within the remit of the Board, subject to the consideration of any policy aspects by Cabinet and / or full Council as appropriate.
  4. To receive reports on applications for permits for house to house collections and street collections where adverse reports are received and to determine the application.
  5. To determine appeals received in respect of the refusal of applications for the registration of premises for civil marriages.

### **General Licensing Sub-Committee**

1. To determine hackney carriage and private hire licence applications which have not been determined by the Director of Legal & Governance and the Service Director Culture, Housing & Regulation pursuant to their delegated powers.
2. To suspend and / or revoke hackney carriage and private hire licences where adverse reports have been received in those instances where the Service Director Culture, Housing & Regulation has referred the matter to the Board for a decision as to whether disciplinary action would be appropriate.
3. To determine appeals against the suspension or revocation of hackney carriage or private hire operators, vehicle and drivers' licences.
4. To determine applications for or suspension / revocation of licences or appeals against suspension or revocation of licenses falling within the remit of the General Licensing Regulatory Board when referred to it for a decision by the Service Director Culture, Housing & Regulation.

**The General Licensing Sub-Committee is comprised of any 3 Members of the General Licensing Regulatory Board**

## **Delegations to Officers from the General Licensing Regulatory Board**

### **1. Director of Legal & Governance**

- (a) To receive and administer the registration of charities including those under the War Charities Act 1940 and the National Assistance Act 1948.

### **2. Service Director Customer Services**

- (a) As Proper Officer for Registration, to approve those applications for approval of premises for civil marriages which comply with the standard conditions and to refuse those which do not, and to determine as to whether or not premises are seemly and dignified.

### **3. Service Director Culture, Housing & Regulation**

- (a) To exercise the Council's powers in relation to the Licensing aspects of the following legislation:
- Caravan Sites and Control of Development Act 1960
  - Celluloid and Cinematograph Act 1922
  - Children and Young Persons Act 1933
  - Food Safety Act 1990
  - Housing Act 1985 (Parts 9 and 10 - Slum Clearance and Overcrowding)
  - Housing Act 2004 (Part 1 [Housing Conditions], Part 2 [Licensing of houses in multiple occupation], Part 3 [Selective licensing areas], Part 4 [management orders], Section 216 [overcrowding], Part 7 [supplementary provisions] insofar as it relates to any of these functions, Section 232 (maintenance of register of licences and management orders)
  - Local Government Miscellaneous Provisions Acts 1976 and 1982
  - Local Government Act 2003, Section 120 (insofar as it amends Section 15 of the Local Government (Miscellaneous Provisions) Act to add the regulation of cosmetic piercing and semi-permanent skin colouring businesses)
  - Noise and Statutory Nuisance Act 1993
  - Nurses Agencies Act 1957
  - Performing Animals (Regulations) Act 1925
  - Pet Animals Act 1951
  - Poisons Act 1972
  - Public Health Acts 1936-1961
  - Scrap Metal Dealers Act 1964

- Scrap Metal Dealers Act 2013 (when legislation allows)
- Slaughterhouses Act 1974
- Town Police Clauses Act 1847
- Zoo Licensing Act 1981

And, notwithstanding the generality of the above:

- (b) Under the Town Police Clauses Act 1847 and Part II of the Local Government (Miscellaneous Provisions) Act 1976 to:
- (i) issue hackney carriage licences and private hire licences, when he / she is satisfied that the application fulfils the statutory requirements and any criteria or policies of the Council for the time being in force;
  - (ii) in consultation with the Chairperson of the Licensing Regulatory Board, refuse applications for hackney carriage and private hire licences where he is not satisfied that the application fulfils the statutory requirements and any criteria or policies of the Council for the time being in force, subject to any such decisions being reported for information to the next meeting of the Licensing Regulatory Board;
  - (iii) to suspend Hackney Carriage and Private Hire Vehicle Licences on vehicle defects being found.
  - (iv) to suspend or revoke licences in other circumstances those at (iii) above, and to report the action to a subsequent meeting of the General Licensing Regulatory Board or Sub-Committee.
- (c) To grant, vary, transfer and revoke licences in respect of cinemas and theatres after consultation with the appropriate officers and outside bodies.
- (d) To issue permits in respect of house to house collections, street collections, and to receive returns in respect of the same and where adverse reports are received to refer the matter to the General Licensing Regulatory Board for determination.
- (e) To issue permits in respect of small lotteries after consultation with the police and where adverse reports are received to refer the matter to the General Licensing Regulatory Board or Sub-Committee for determination.
- (f) To determine applications for minibus permits of those vehicles under the control of the Council.
- (g) To receive and administer applications for the registration of motor salvage operators under the Vehicles (Crime) Act 2001 (Motor Salvage Operators Regulations 2002), to approve applications for registration

where statutory consultation with the Police reveals no contrary indications and to maintain a register which shall be available for public inspection;

- (h) To determine, following consultation with the Chairperson of the General Licensing Regulatory Board, applications for the renewal of Sex Shop premises Licences where no adverse comments have been received to the statutory consultation process and where there are no concerns or substantial changes made regarding the operation of the premises.

### **Statutory Licensing Regulatory Board**

Subject to the overall policy framework of the Council to exercise the functions of the Council in relation to the Licensing Act 2003:-

1. To monitor the operation of the Council's Licensing Policy Statement
2. To monitor the operation and performance of the Sub Committees (comprising three Members each) established to determine applications for and reviews of licences.
3. To determine the procedure/protocol to be adopted by the Sub Committees in dealing matters referred to them.

### **Statutory Licensing Sub Committees**

To determine, in accordance with the procedure/protocol agreed by the Statutory Licensing Regulatory Board, applications for and reviews of Licences made under the provisions of the Licensing Act 2003 where adverse comments have been received.

### **Delegations to Officers from the Statutory Licensing Board**

1. **Service Director Culture, Housing & Regulation**
  - (a) To exercise the Council's powers in relation to the Licensing aspects of the Licensing Act 2003.
  - (b) To exercise powers under the Criminal Justice Act 2001 to close premises that are in breach of the conditions of licences granted under the Licensing Act 2003



## **Audit Committee**

### **A. General Remit**

1. To provide independent assurance of the adequacy of the risk management framework and the associated control environment.
2. To provide independent scrutiny of the authority's financial and non-financial performance to the extent that it affects the authority's exposure to risk and weakens the control environment.
3. To oversee the financial reporting process.
4. To promote the application of and compliance with effective governance arrangements across the Authority and its partner organisations.

### **B. Internal Control incorporating Risk Management, Financial Probity and Stewardship**

- (a) To consider the overall adequacy and effectiveness of the system of internal control and the arrangements for risk management, control and governance processes and securing economy, efficiency and effectiveness (value for money).
- (b) To ensure that the highest standards of financial probity and stewardship are maintained throughout the Authority, within policies set by the Council from time to time.
- (c) To develop such policies for consideration and approval by the Council.
- (d) To promote effective internal control by the systematic appraisal of the Authority's internal control mechanisms and by the development of an anti-fraud culture.
- (e) To promote effective risk management throughout the Council in accordance with the Council's Risk Management Policy Objectives Statement.
- (f) To periodically review the Authority's strategic risk register.
- (g) To consider, challenge and comment on the Annual Governance Statement.

C. Internal Audit

- (h) To oversee, in consultation with internal auditors, the preparation and approval of an annual audit plan for the Authority and to receive periodic reports from the internal auditor on performance against the plan.
- (i) To monitor compliance with internal audit reports following their consideration by management.
- (j) To examine reports previously submitted to the Cabinet in respect of ongoing activities and investigations conducted by internal auditors and to make appropriate comments and recommendations.
- (k) To review the performance of the internal audit function by way of quarterly performance management reports.
- (l) To consider the Head of Internal Audit's annual audit report and opinion, and a summary of internal audit activity (actual and planned) and the level of assurance it can give over the Council's corporate governance arrangements.
- (m) To enhance the profile, status and authority of the internal audit function and demonstrate its independence.
- (n) To approve and periodically review the Internal Audit Strategy and Audit Charter

D. External Audit and other Inspectorates or Regulatory Bodies

- (o) To oversee, in consultation with external auditors, the preparation of the annual audit plan for the Authority and to receive periodic reports from the external auditor on performance against the plan.
- (p) To consider and report to Cabinet and the Council the annual audit and inspection letter.
- (q) To consider the appointment of the Council's external auditor.
- (r) To monitor compliance with external audit, external inspectorate and Ombudsman reports following their consideration and resolution by the Cabinet and/or Council.
- (s) To determine any payments or provide other benefits in cases of maladministration by the Authority within the scope of section 92 of the Local Government Act 2000.

E. Other

- (t) To consider general issues and statistics in relation to the Council's Corporate "Whistleblowing" Policy.
- (u) To review the Authority's arrangements for establishing appropriate anti-fraud policies and procedures.
- (v) To monitor compliance with and the effectiveness of anti-fraud and corruption policies and procedures.
- (w) To monitor compliance with the Authority's Partnership Governance Framework.
- (x) To consider and review compliance with the Authority's Treasury Management policy.

F. Accounts

- (y) To review the financial statements, external auditor's opinion and reports to Members, and monitor management action in response to the issues raised by External Audit.
- (z) To contribute to the annual review, consideration and challenge of the financial statements.

**The Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012  
The Openness of Local Government Bodies Regulations 2014**

**Procedures for recording decisions taken by officers**

**Background**

1. Section 13(4) of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012 (No. 2089) requires that an officer taking an executive decision has to publish a written statement about the decision as soon as practically possible after the decision is taken. This has been extended by Section 7 of the Openness of Local Government Bodies Regulations 2014 (No. 2095) to include non-executive decisions taken by officers.
2. Whilst the 2012 regulations simply identify the need to record executive decisions taken by officers, the 2014 regulations extend this to decisions that would otherwise have been taken by the Council or a committee / sub-committee if not otherwise delegated to officers. This therefore includes delegated decisions on non-executive functions.
3. The 2014 regulations also state that the requirement to record applies to decisions made:
  - (a) under a specific express authorisation; or
  - (b) under a general authorisation to officers to take such decisions and the effect of the decision is to:
    - (i) grant a permission or licence;
    - (ii) affect the rights of an individual; or
    - (iii) award a contract or incur expenditure which, in either case, materially affects that relevant local government body's financial position.
4. A specific express authorisation is an issue where full Council, Cabinet, a Cabinet Spokesperson or Regulatory Board has authorised an officer to take action on a specific matter.
5. A general authorisation is an issue where the authority derives from a function listed in the Schemes of officer delegations and otherwise meets the requirements of 3(b) above. The Council has determined that the amount of a contract or expenditure that materially affects the Council's financial position is £250,000.
6. In the case of officer executive decisions, the record must include:
  - (a) the decision taken, including the date it was made;
  - (b) the reasons for the decision;

- (c) details of any alternative options considered and rejected by the officer when making the decision;
  - (d) any conflict of interest declared by any executive member consulted by the officer which relates to the decision; and
  - (e) in respect of any declared conflict of interest, a note of dispensation granted by the relevant local authority's head of paid service.
7. In the case of other officer decisions covered by the 2014 regulations, the record must include:
- (a) the date the decision was taken;
  - (b) a record of the decision taken along with reasons for the decision;
  - (c) details of alternative options, if any, considered and rejected; and
  - (d) where the decision falls under paragraph 3(a) above, the names of any Member who has declared a conflict of interest in relation to the decision
8. The regulations also require that the statement and any supporting documents be available for inspection by the public and on the Council's website.

#### **Decisions to be recorded**

9. The regulations require the recording of all executive officer decisions and those non-executive decisions that fall under the requirements set out at paragraph 3 above. These will either be as stated in the officer delegations (see links below) or as a result of a specific express authorisation given by Council or a Regulatory Board. Failure to record a decision could give rise a legal challenge being made to any action subsequently taken, so this needs to be borne mind when recording decisions made.
10. In addition, some decisions will involve the consideration of information relating to the circumstances of individuals, for example the approval of care packages or school admissions decisions. In order to protect the privacy of the individuals concerned, these decisions need not be published.

#### **Procedure for recording decisions**

11. The officer named in the Officer Delegations as responsible for specific decisions should use the form ('the decision notice') attached at Appendix 1 to record the decision taken. This provides space to give basic information about the subject and date of the decision taken and the authority / delegated power under which the decision is taken. The decision notice should be signed by the officer(s) taking the decision, and, if required, by any Cabinet Spokesperson who should be consulted.
12. If services already use a pro forma to record decisions taken by officers, this can continue to be used, provided it complies with the recording

requirements set out in this procedure. If services use a system to record and publish decisions taken (e.g. Planning Explorer, YorTender), there is no requirement to produce a separate record provided that the record meets the requirements set out at paragraph 6 or 7, as appropriate.

13. The decision notice should be accompanied by documentation that provides information on the reasons for any decision and any options considered, where this is too detailed or complex to be set out on the decision notice itself. Provided it gives the necessary information, this documentation need not be in the same format as a report for Cabinet or Spokesperson delegated decision.
14. Officers should take care not to include in the supporting documentation any confidential or exempt information that might prejudice the public interest if published. Where information of this type has been referred to in the course of making the decision, this should be stated as one of the background papers. A reference should be made to it containing confidential information or exempt information under the Local Government Act 1972, Part 1, Schedule 12A
15. Where an executive decision is being taken, any conflict of interest declared by an Executive Member on that matter should be recorded, together with any dispensation granted. Where a non-executive decision is being taken under a specific express authorisation, the record should include any conflict of interest declared by a Member on that matter.
16. Once the notice of decision and any supporting documentation has been fully completed, the officer making the decision should make arrangements for it to be scanned as one document and e-mailed to the Council Governance Unit at [governance@barnsley.gov.uk](mailto:governance@barnsley.gov.uk) for publication in the website. The original documentation should be kept on file by the decision making officer according to their normal procedures.

### **Appendices**

Appendix 1 Pro forma notice of decision

Appendix 2 Categories of exempt information as described in the Local Government Act, Part 1, Schedule 12A

### **Links to schemes of officer delegations:**

[Executive Delegations](#)

[Non-Executive Delegations](#)

### Officer Decision Record Template

Record of Decision of the *(Insert Officer name)* taken in consultation with the Chairman of *(Insert Body) / (Insert name of other officer) [delete as appropriate]*

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*Where the delegation is subject to consultation with a Chairman or other officer, the position of the Chairman or officer should be inserted above and they should be asked to sign below. Where no consultation is required, delete these references.*

#### Subject

*Give a brief description of the subject and the decision to be taken. Include a statement of reasons for the decision taken and any options for action considered and rejected. Information on those consulted on the proposed action / decision should be included, together with any conflicts of interest for Members. Where this is too detailed or complex to include here, this information must be included in appendices.*

#### Authority

*Insert reference to relevant section of the Scheme of Delegation or Council / Regulatory Board Minute Number, where appropriate, to show where the delegated powers on this matter was authorised.*

#### Decision Taken

*Set out the decisions (to be) taken*

#### Date of Decision

*Insert the date of the decision*

#### Elected Member Conflicts of Interest

*Record any conflicts of interest for express authorisations*

*Signature*

*(Insert Officer name)*

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*(Insert more Officer names as required)*

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*Signature*

*Version 13 – April 2015*

**Cabinet Spokesperson  
(if required)**

***Scan / email the completed form and appendices to the Council Governance Unit  
and keep the original on the project file***



## APPENDIX 2

### **Restrictions on the Publication of Reports Considered by Meetings within the Council**

The Cabinet and other Council meetings are generally required to consider all reports in public. However, the facility exists to consider a report in private if it contains certain categories of information. The relevant legal provision is Schedule 12A to the Local Government Act 1972, as amended by regulations issued in 2006.

This note gives practical guidance on how exemptions from publication can be “claimed” and what qualifications to this apply. However, on a general note, any information which gives rise to an exemption must be specific, not theoretical, and must be relevant to the decision being sought from Members. The whole intention of the Freedom of Information Act is to ensure the availability of information, including reports, to the public and the starting point, therefore, is that all reports will be made available for publication.

Report authors are encouraged to contact the Cabinet Support Unit for assistance and advice in applying these provisions.

Exempt Information under the Terms of the Local Government Act 1972, Schedule 12A (as amended)

Council meetings may consider reports following the exclusion of the public and press from the meeting if it contains some types of information, other than personal information, as described above. These categories of so-called exempt information are set out in Schedule 12A to the Local Government Act 1972, which has been amended to be consistent with the Freedom of Information Act.

The Categories of Exempt Information are given below and report authors need to consider these when seeking an exemption from publication, having regard to the qualifications that apply to each of the exemptions.

A particular issue that report authors need to bear in mind is the application of the public interest test included in the Freedom of Information Act. This means that a report cannot be exempt from publication unless, in all circumstances of the case, the public interest in maintaining confidentiality outweighs the public interest in disclosing the information. Even so, the exemption from publication may be time limited: For example it may not be in the public interest to publish the budget allocation for a particular contract, as this might affect the tender bids received; however, once the contract has been let there is no reason for this report to continue to be withheld from publication. Therefore, where there is a valid public interest justification for withholding the publication but this is time limited, report authors should include this information in the report.

To identify that a report contains exempt information, report authors should arrange for the inclusion of a “box” in the top left hand corner of the first page of the report, as follows:

<p><b>NOT FOR PUBLICATION UNTIL [INSERT DATE]*</b></p> <p><b>Exempt Information Local Government Act 1972 Schedule 12A Part 1 Paragraph (insert number)</b></p>
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\* The date of release will not be appropriate in all cases but report authors are encouraged to consider whether or not this would serve the public interest. It might be that information can be released immediately after the meeting at which the report was considered.

The categories of exempt information are as follows:-

<u>Paragraph Number/ Information Type</u>	<u>Qualification</u>
<b>Paragraph 1</b> Information relating to any individual.	Information falling within this Paragraph is subject to the public interest test referred to above. Exemption from publication will also generally be time limited, requiring report authors to consider the date on which the public interest will no longer be served by withholding publication.  Information in this Paragraph is not exempt if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to Regulation 3 of the Town and Country Planning General Regulations 1992
<b>Paragraph 2</b> Information which is likely to reveal the identity of an individual.	Information falling within this Paragraph is subject to the time-limited public interest test referred to above.  Information in this Paragraph is not exempt if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to Regulation 3 of the Town and Country Planning General Regulations 1992

**Paragraph 3**

Information relating to the financial or business affairs of any particular person (including the Local Authority holding the information).

Information falling within this Paragraph is subject to the time-limited public interest test referred to above.

Financial or business affairs includes contemplated, as well as past or current activities.

Qualification:

Information falling within this Paragraph is not exempt information by virtue of that Paragraph if it is required to be registered under:

- a. The Companies Act 1985
- b. The Friendly Societies Act 1974
- c. The Friendly Societies Act 1992
- d. The Industrial & Provident Societies Acts 1965 to 1978
- e. The Building Societies Act 1986 or
- f. The Charities Act 1993

Information in this Paragraph is not exempt if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to Regulation 3 of the Town and Country Planning General Regulations 1992

**Paragraph 4**

Information relating to any consultations or negotiations, or contemplated consultations or negotiations in connection with any labour relations matter arising between the Authority or a Minister of the Crown and employees of, or office holders under, the Authority.

Information falling within this Paragraph is subject to the time-limited public interest test referred to above. Note that it is therefore likely that, once the consultations or negotiations are concluded, the information is no longer exempt from publication.

In this context, "Labour relations matters" means (a) any of the matters specified in Paragraphs (a) to (g) of Section 218(l) of the Trade Union and Labour Relations (Consolidation) Act 1992 (ie matters which may be the matter of a trade dispute within the meaning of that Act) or (b) any dispute about a matter falling within Paragraph (a) above.

Information in this Paragraph is not exempt if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to Regulation 3

of the Town and Country Planning General Regulations 1992

**Paragraph 5**

Information in respect of which a claim to legal professional privilege could be maintained in legal proceedings

Information falling within this Paragraph is subject to the time-limited public interest test referred to above.

Information in this Paragraph is not exempt if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to Regulation 3 of the Town and Country Planning General Regulations 1992

**Paragraph 6**

Information which, if disclosed to the public, would reveal that the authority proposes:

Information falling within this Paragraph is subject to the time-limited public interest test referred to above.

(a) to give under any enactment a notice under or by virtue of which requirements are imposed on a person; or

Information in this Paragraph is not exempt if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to Regulation 3 of the Town and Country Planning General Regulations 1992

(b) to make an order or direction under any enactment

**Paragraph 7**

Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of a crime

Information falling within this Paragraph is subject to the time-limited public interest test referred to above.

Information in this Paragraph is not exempt if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to Regulation 3 of the Town and Country Planning General Regulations 1992